1616 150 Atty. Dkt. No. 081356

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Hideaki NOMURA et al.

Title:

POWDERY PREPARATION FOR MUCOSAL

ADMINISTRATION CONTAINING POLYMERIC MEDICINE

Appl. No.:

09/720,970

Appl. Filing Date: 1/3/2001

Examiner:

Sharmila S. Gollamudi

Art Unit:

1616

## REQUEST FOR CONTINUED EXAMINATION (RCE) TRANSMITTAL

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is a Request for Continued Examination (RCE) under 37 C.F.R. § 1.114 of the above-identified application. This RCE and the enclosed items listed below are being filed prior to the earliest of: (1) payment of the issue fee (unless a petition under 37 C.F.R. § 1.313 is granted); (2) abandonment of the application; or (3) the filing of a notice of appeal to the U.S. Court of Appeals for the Federal Circuit under 35 U.S.C. §141, or the commencement of a civil action under 35 U.S.C. §145 or §146 (unless the appeal or civil action is terminated).

- 1. Submission required under 37 C.F.R. §1.114: (check item(s) that apply)
  - a. Enclosed are:
  - Amendment/Reply. [X]
  - Declaration Under 37 CFR § 1.132 with Exhibits A and B.

08/03/2004 SMINASS1 00000083 09720970

01 FC:1801

770.00 OP

08/03/2004 SMINASSI 00000083 09720970

02 FC:1252

310.00 OP

## The filing fee is calculated below:

|                  | Claims as<br>Amended |      | Previously<br>Paid For | Extra Claims<br>Present | Rate |          |   | Fee Totals |
|------------------|----------------------|------|------------------------|-------------------------|------|----------|---|------------|
| RCE Fee 1.17(e): |                      |      |                        |                         |      | \$770.00 | = | \$770.00   |
| Total Claims:    | 18                   | -    | 25                     | =0                      | x    | \$18.00  | = | \$0.00     |
| Independents     | 2                    | -    | 3                      | =0                      | x    | \$86.00  | = | \$0.00     |
| First p          | resentation o        | f an | y Multiple [           | Dependent Claims:       | +    | \$290.00 | = | \$0.00     |
|                  |                      |      |                        | CLAIMS                  | FEE  | E TOTAL: | = | \$770.00   |

[X] Applicants hereby petition for an extension of time under 37 C.F.R. §1.136(a) for the total number of months checked below:

| []  | Extension for response filed within the first month:      | \$110.00   | 0 | \$0.00    |  |  |
|-----|---|------------|---|-----------|--|--|
| [X] | Extension for response filed within the second month:     | \$420.00   | _ | \$420.00  |  |  |
| []  | Extension for response filed within the third month:      | \$950.00   |   | \$0.00    |  |  |
| []  | Extension for response filed within the fourth month:     | \$1,480.00 |   | \$0.00    |  |  |
| []  | Extension for response filed within the fifth month:      | \$2,010.00 |   | \$0.00    |  |  |
|     | EXTENSION FEE SUBTOTAL:                                   |            |   |           |  |  |
|     | \$110.00  |            |   |           |  |  |
|     | \$310.00  |            |   |           |  |  |
|     | \$1080.00   |            |   |           |  |  |
| []  | Small Entity Fees Apply (subtract ½                       | \$0.00     |   |           |  |  |
| []  | Suspension of action requested under 37 C.F.R. § 1.103(c) |            |   |           |  |  |
|     | TC  | TAL FEE:   |   | \$1080.00 |  |  |
|     |   |            |   |           |  |  |

[X] A check in the amount of \$1080.00 to cover the filing fee and 2<sup>nd</sup>-month extension of time fee is enclosed.

[X] The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

2 August

FOLEY & LARDNER LLP

Customer No. 22428

Telephone:

(202) 672-5404

Facsimile: (202) 672-5399

Respectfully submitted,

Stephen A. Bent

Attorney for Applicant Registration No. 29,768



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## PRELIMINARY AMENDMENT UNDER 37 CFR § 1.114

Mail Stop AF

Commissioner for Patents
PO Box 1450

Alexandria, Virginia 22313-1450

Sir:

Prior to examination on the merits, please enter the following Request for Continued Examination submission in the above-referenced application.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this document.

Remarks/Arguments begin on page 5 of this document.

Please amend the application as follows: